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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT #9/IDS  
740756-1914  
3-19-01  
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In re PATENT application of:

Shunpei YAMAZAKI et al.

Application No.: 09/235,770

Filed: January 25, 1999

For: SEMICONDUCTOR DEVICE  
AND METHOD FOR FORMING  
THE SAME

Art Unit: 2813

Examiner: L. Schillinger

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 7, 2001.

*L. Schillinger*

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents  
Washington, D.C. 20231

March 7, 2001

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith attached Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Applicants would also like to correct an error made on the Information Disclosure Statement (IDS) filed November 8, 2000. Please remove the first paragraph in page 2 (which was erroneously included) therein, which reads JP 49-078483 and JP 64-047076 were cited in another counterpart Japanese Patent Application by the Japanese Patent Office on August 15, 2000, not more than three months prior to the filing of the IDS" from consideration.

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The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 19-2380 (740756-1914). A duplicate copy of this sheet is attached.

Respectfully submitted,  
NIXON PEABODY, LLP

By  Reg. No. 38,434  
Signed for:  
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EJR/LCD:gjc  
Attachment: PTO-1449 Form and References